

"Express Mail" mailing label number EV 316 042 222 US

Date of Deposit: September 7, 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Akira Takaki et al.

International

Serial No. PCT/JP2005/004290

U.S. Serial No.: Applied for

Intl. Filing Date: March 11, 2005

U.S. Filing Date: Herewith

Title: PROCESS FOR PRODUCING  
POLYORGANOSILOXANE-  
CONTAINING RESIN AND  
RESIN COMPOSITION  
CONTAINING THE RESIN

Attorney Docket No: 5404/171

Examiner: Not Assigned

Art Unit: Not Assigned

### INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicant hereby cites the following reference(s):

#### U.S. PATENT DOCUMENTS

DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
4,599,381	07/08/1986	Liu
5,391,648	02/21/1995	Yamamoto et al.

**FOREIGN PATENT DOCUMENTS**

<b>DOCUMENT NUMBER Number-Kind Code (if known)</b>	<b>DATE</b>	<b>APPLICANT</b>
JP 7-278310 A	10/24/1995	Japan
JP 8-73744 A	03/19/1996	Japan
JP 8-319352 A	12/03/1996	Japan
JP 2001-288269 A	10/16/2001	Japan
JP 2002-121284 A	04/23/2002	Japan
EP 0 510 873 A2	10/28/1992	EPO

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

International Search Report from Corresponding International Application No. PCT/JP2005/004290, dated June 14, 2005, 2 pages.
--

Applicant is enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2).

Applicant respectfully requests the Examiner's consideration of the above reference(s) and entry thereof into the record of this application.

By submitting this Statement, Applicant is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

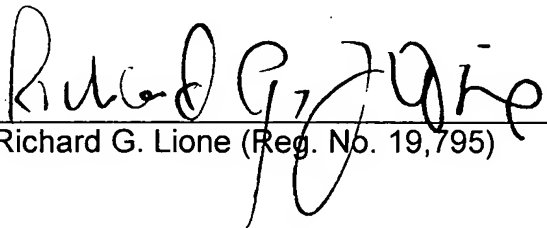
10/592015

IAP12 Rec'd PCT/PTO 07 SEP 2006

Applicant has calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

9-06-06  
Date

  
Richard G. Lione (Reg. No. 19,795)

10/592015

IAP12 Rec'd PCT/PTO 07 SEP 2006

FORM PTO-1449	INTERNATIONAL APPLICATION NO. PCT/JP2005/004290	CASE NO. 5404/171
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	INTERNATIONAL FILING DATE March 11, 2005	GROUP ART UNIT Not Assigned
FIRST NAMED INVENTOR: Akira Takaki et al.		
EXAMINER NAME: Not Assigned		

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	DOCUMENT NUMBER Number-Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Class/Subclass	Filing Date
	A1	4,599,381	07/08/1986	Liu		
	A2	5,391,648	02/21/1995	Yamamoto et al.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	Cite No.	Foreign Patent Document Country Code, Number -Number-Kind Code (if known)	Publication Date MM-DD-YYYY	COUNTRY	Class/Subclass	Translation Yes or No
	A3	JP 7-278310 A	10/24/1995	Japan		Abstract
	A4	JP 8-73744 A	03/19/1996	Japan		Abstract
	A5	JP 8-319352 A	12/03/1996	Japan		Abstract
	A6	JP 2001-288269 A	10/16/2001	Japan		Abstract
	A7	JP 2002-121284 A	04/23/2002	Japan		Abstract
	A8	EP 0 510 873 A2	10/28/1992	EPO		

EXAMINER INITIAL	NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.					T
	A9	International Search Report from Corresponding International Application No. PCT/JP2005/004290, dated June 14, 2005, 2 pages.				

NOTE: For "T" – please place an "X" if an English translation is being provided to the Patent Office.

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.